

# CASTEP: plane-wave DFT for exascale HPC

Matt Smith, Ben Durham and Phil Hasnip



PAX Project Meeting  
April 2024



# First-principles materials modelling

First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary

- Aim: predict materials' behaviour from *first principles*  
i.e. no knowledge of what they'll do beforehand
- Materials are made from atoms
- Most behaviour depends on the electrons
- We can use quantum mechanics to model electrons

The material's behaviour should emerge from the simulation



# First-Principles Modelling

Most common approach is Density Functional Theory (DFT);  
we solve a form of Schrödinger equation:

$$\hat{H}_k[\rho]\psi_{bk}(\mathbf{r}) = E_{bk}\psi_{bk}(\mathbf{r})$$

First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary



# First-Principles Modelling

Most common approach is Density Functional Theory (DFT);  
we solve a form of Schrödinger equation:

$$\hat{H}_k[\rho]\psi_{bk}(\mathbf{r}) = E_{bk}\psi_{bk}(\mathbf{r})$$

where

$$\hat{H}_k[\rho] = -\frac{\hbar^2}{2m}\nabla^2 + V_{\text{loc}}[\rho](\mathbf{r}) + \hat{V}_{\text{nl}}$$



# First-Principles Modelling

Most common approach is Density Functional Theory (DFT); we solve a form of Schrödinger equation:

$$\hat{H}_k[\rho]\psi_{bk}(\mathbf{r}) = E_{bk}\psi_{bk}(\mathbf{r})$$

where

$$\hat{H}_k[\rho] = -\frac{\hbar^2}{2m}\nabla^2 + V_{\text{loc}}[\rho](\mathbf{r}) + \hat{V}_{\text{nl}}$$

and

$$\rho(\mathbf{r}) = \sum_{bk} |\psi_{bk}(\mathbf{r})|^2.$$



# First-Principles Modelling

Most common approach is Density Functional Theory (DFT); we solve a form of Schrödinger equation:

$$\hat{H}_k[\rho]\psi_{bk}(\mathbf{r}) = E_{bk}\psi_{bk}(\mathbf{r})$$

where

$$\hat{H}_k[\rho] = -\frac{\hbar^2}{2m}\nabla^2 + V_{\text{loc}}[\rho](\mathbf{r}) + \hat{V}_{\text{nl}}$$

and

$$\rho(\mathbf{r}) = \sum_{bk} |\psi_{bk}(\mathbf{r})|^2.$$

So to find  $\psi_{bk}$  we need  $\hat{H}_k$ , which depends on  $\rho$ , which depends on  $\psi_{bk}$ ... solve *iteratively*.



# First-Principles Modelling

First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary

$$\hat{H}_k[\rho]\psi_{bk}(\mathbf{r}) = E_{bk}\psi_{bk}(\mathbf{r}).$$

Main basis set choices to represent  $\psi_{bk}$ :

- Local basis set (e.g. CRYSTAL, CP2K; recall Marcello's talk)
  - Hamiltonian is compact
  - Constructing Hamiltonian resource-intensive
  - E.g. CRYSTAL
- Plane waves (e.g. CASTEP)
  - Hamiltonian is large
  - Constructing Hamiltonian is simple

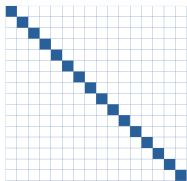


# The plane-wave Hamiltonian

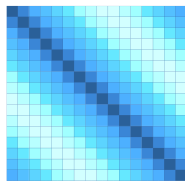
Typical HPC simulation might be:

- 100,000 plane-waves
- 1000 electrons
- 10 k-points

$$-\frac{\hbar^2}{2m}\nabla^2 + V_{\text{loc}}[\rho](\mathbf{r}) + \hat{V}_{\text{nl}}$$



+



+



⊗







# Where does CASTEP spend its time?

- Applying  $\hat{H}_k$  to  $\psi_{bk}$

$$\hat{H}_k[\rho] = -\frac{\hbar^2}{2m}\nabla^2 + V_{\text{loc}}[\rho](\mathbf{r}) + \hat{V}_{\text{nl}}.$$

- The kinetic energy is applied in reciprocal-space
- The local potential is applied in real-space
- The non-local potential can be applied in either space

We need to Fourier transform between the two spaces.

- Orthogonalisation of  $\psi_{bk}$

We need to ensure our trial bands are orthogonal to each other. We compute the overlap matrix between all pairs of bands, and invert it.



# Large calculations

First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary

As we simulate larger and larger systems,  $N_G$  and  $N_b$  increase and  $N_k$  decreases (for very large cells  $N_k = 1$ ).

- Time for Fourier transforms scales as  $N_G \log N_G N_b N_k$ .  
For very large systems  $\sim N_G N_b$ .
- Time for orthogonalisation scales as  $N_G N_b^2 N_k$ .  
For very large systems  $\sim N_G N_b^2$ .

→ Orthogonalisation dominates in large calculations.



# k-point parallelism

- Equations at different **k**-points are almost entirely independent of each other
  - give each core a subset of the **k**-points.
  - each core solves a subset of Kohn-Sham equations
- Cores only communicate when constructing the density

$$\rho(\mathbf{r}) = \sum_{bk} |\psi_{bk}(\mathbf{r})|^2$$



# TiN Benchmark

There are some benchmark calculations at:

<http://www.castep.org/CASTEP/Benchmarks>

The TiN simulation is a small standard benchmark

- 33 atoms
- 8 **k**-points
- 164 bands
- 10,972 **G**-vectors

First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary



# k-point parallelism in action

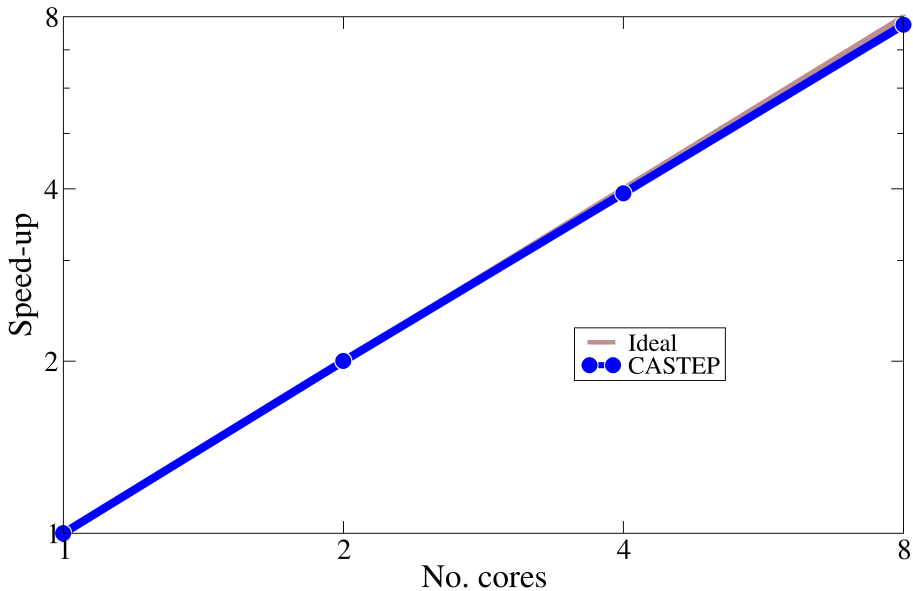
First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary





# Exascale HPC

First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary

Materials modelling software typically based on:

- Modern Fortran
- MPI
- OpenMP

Exascale machines characterised by:

- GPUs
- Massive parallelism



# Enter the GPU

$$\hat{H}_k[\rho] = -\frac{\hbar^2}{2m}\nabla^2 + V_{\text{loc}}[\rho](\mathbf{r}) + \hat{V}_{\text{nl}}.$$

- The kinetic energy is applied in reciprocal-space
- The local potential is applied in real-space  
Needs FFTs  $\rightarrow$  optimised cuFFT library
- The non-local potential is a dense matrix-matrix multiplication  
Standard BLAS/LAPACK  $\rightarrow$  optimised cuBLAS library

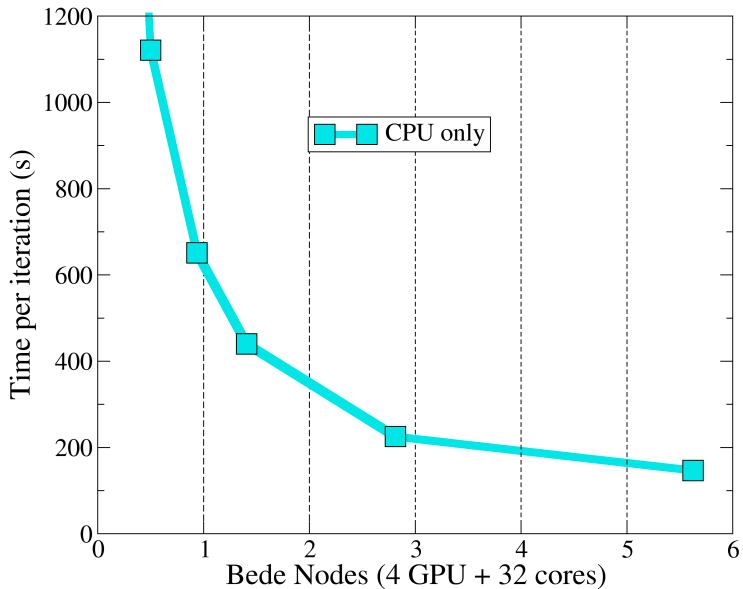
Focus on:

- Single code base
- Directives-based data movement (OpenACC)
- Use of optimised GPU libraries



# CASTEP-GPU on Bede (UK Tier-2 HPC)

- First-principles modelling
- CASTEP in Parallel
- GPUs
- Massively parallel
- Summary

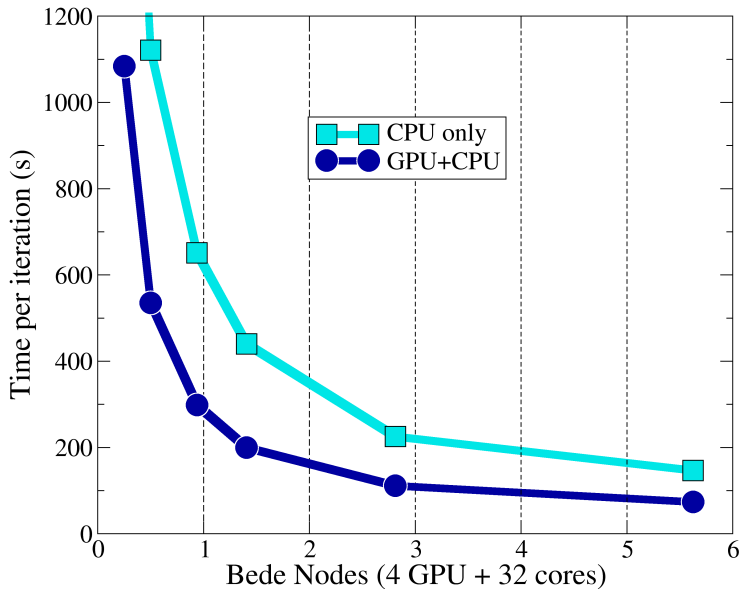






# CASTEP-GPU on Bede

- First-principles modelling
- CASTEP in Parallel
- GPUs
- Massively parallel
- Summary





# CASTEP-GPU on Bede (UK Tier-2 HPC)

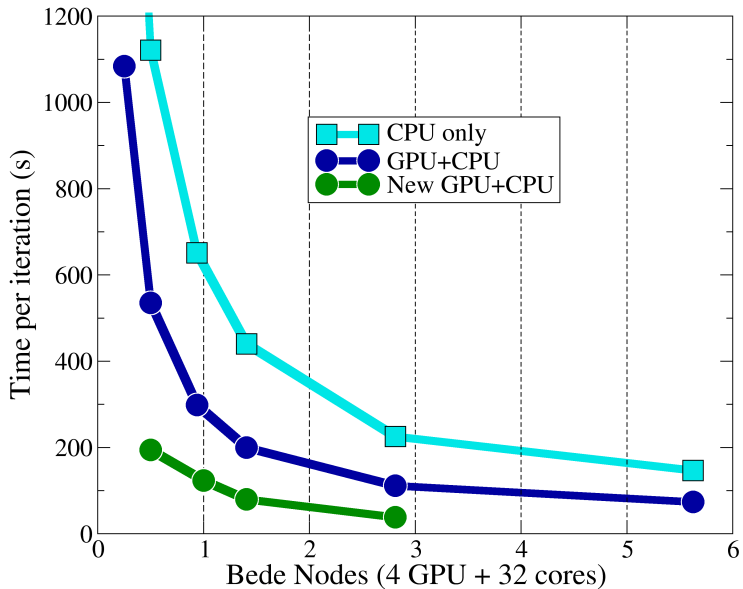
First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary





# CASTEP-GPU on Bede (UK Tier-2 HPC)

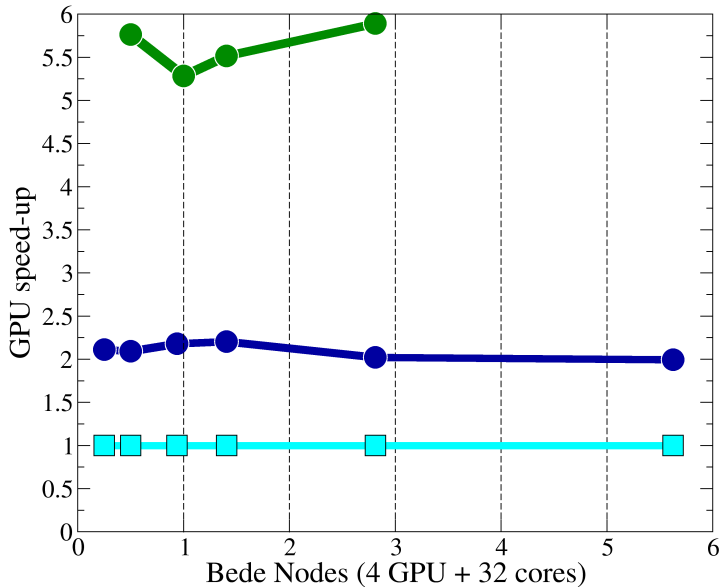
First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary





# CASTEP-GPU on Bede (UK Tier-2 HPC)

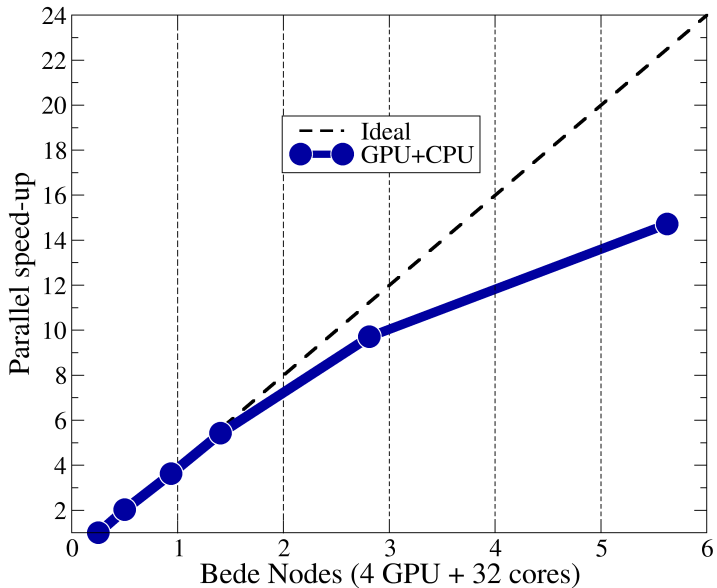
First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary





# Accelerating or breaking?

First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary

- Multiple MPI ranks share each GPU (keeps occupancy high)
- Minimising data movement is crucial to performance
- k-point and band-parallel
- Not quite single code-base yet



# Parallelisation

First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

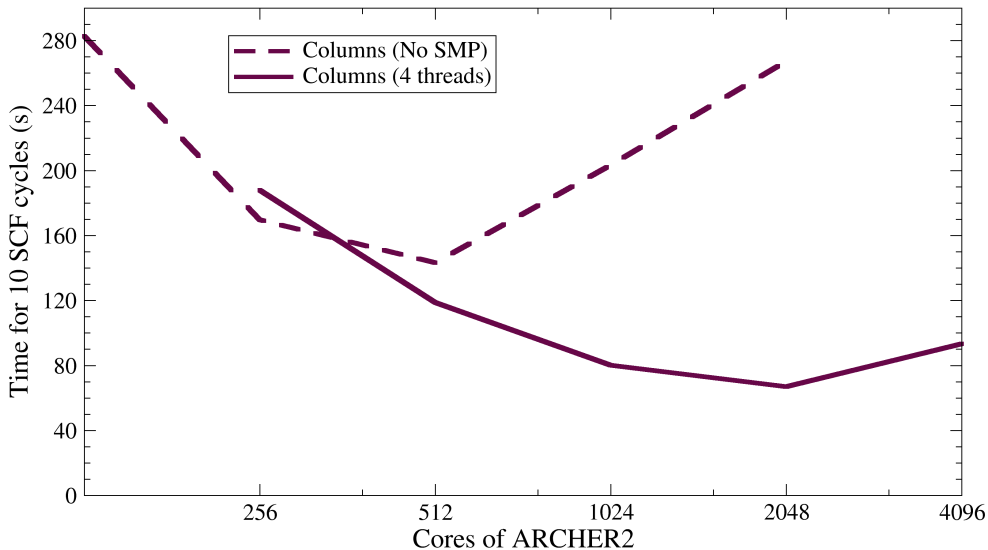
Summary

- Exascale HPC machines are massively parallel
- How does plane-wave DFT scale?
- Can pure MPI get us to exascale?



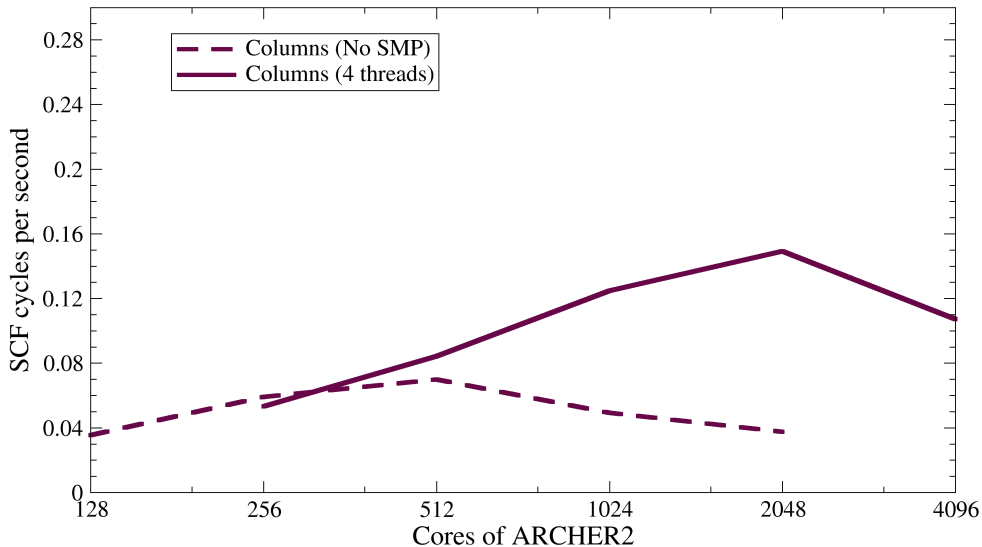
# Performance: Time ( $\text{Al}_2\text{O}_3$ on ARCHER2)

- First-principles modelling
- CASTEP in Parallel
- GPUs
- Massively parallel
- Summary





# Performance: Speed ( $\text{Al}_2\text{O}_3$ on ARCHER2)



First-principles modelling

CASTEP in Parallel

GPUs

Massively parallel

Summary





# Performance: Ab initio MD

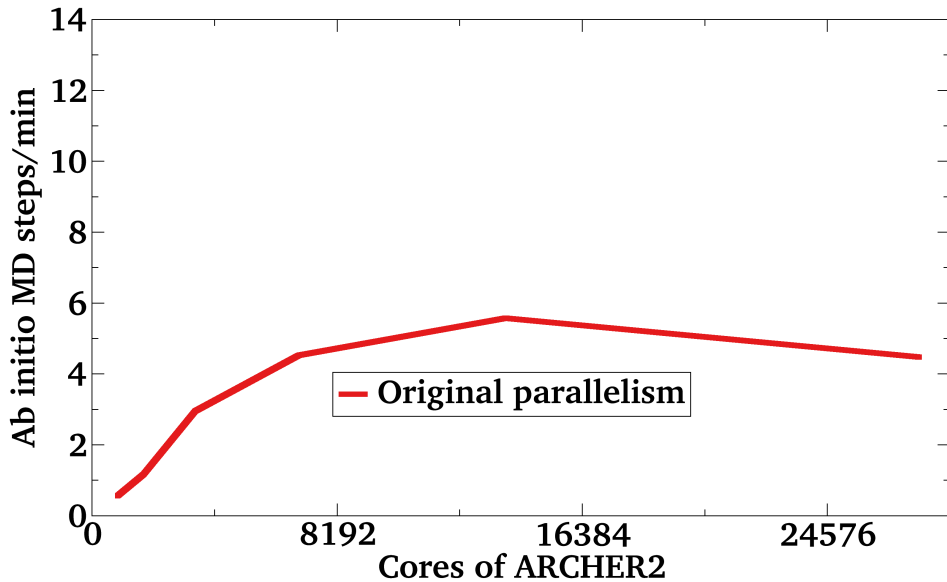
First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary





# Plane-wave parallelism problems

First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary

- For plane-wave DFT, the parallel FFT limits the scaling
- FFTs need all-to-all comms
- For  $P$  processes, comms time scales as  $P^2$
- Need to re-think the data distribution...

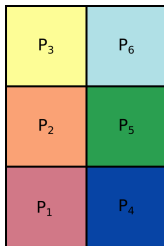


$O(P^2)$



# Rethinking the distribution

- Key idea: arrange processes in a logical process grid, side  $\sim \sqrt{P}$
- Each data transposition only involves processes in either the same process row or column



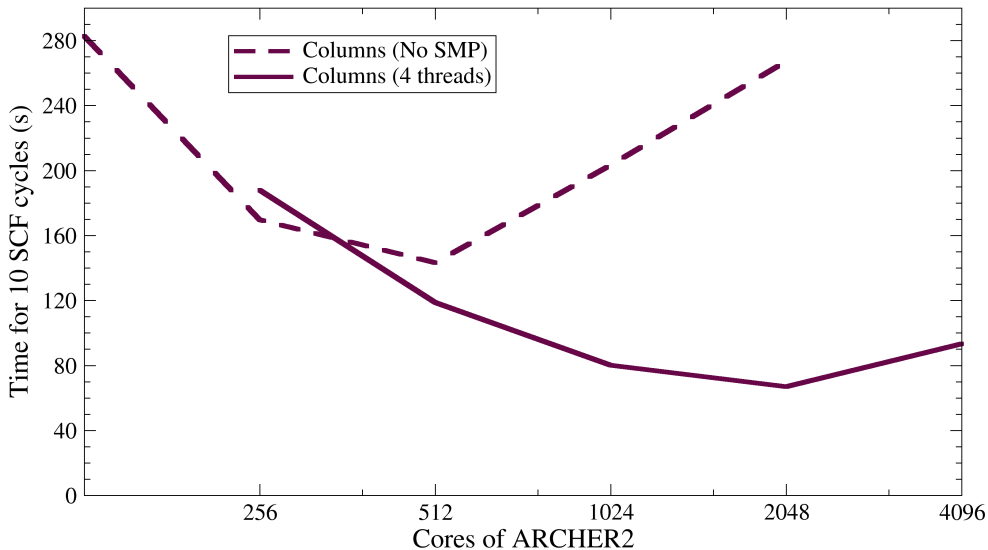
$O(P)$

- Restrict communications to sub-communicator rows and columns of process grid



# Performance: Time ( $\text{Al}_2\text{O}_3$ on ARCHER2)

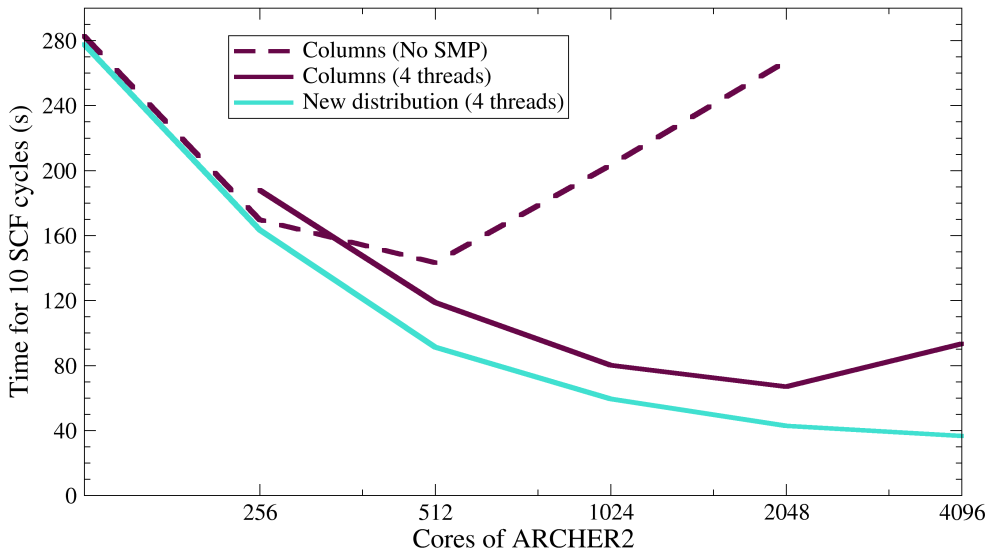
- First-principles modelling
- CASTEP in Parallel
- GPUs
- Massively parallel
- Summary





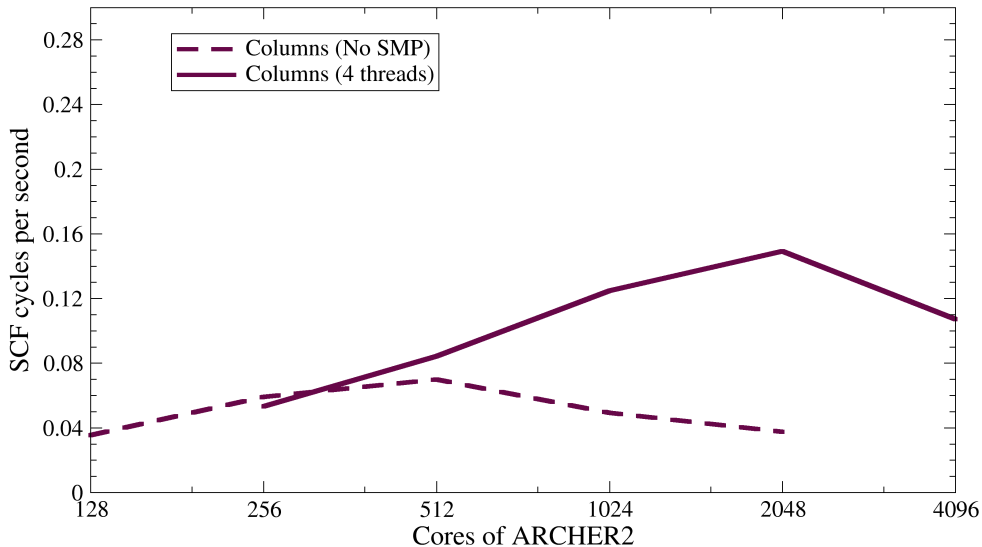
# Performance: Time ( $\text{Al}_2\text{O}_3$ on ARCHER2)

First-principles  
modelling  
CASTEP in  
Parallel  
GPUs  
Massively parallel  
Summary





# Performance: Speed ( $\text{Al}_2\text{O}_3$ on ARCHER2)



First-principles modelling

CASTEP in Parallel

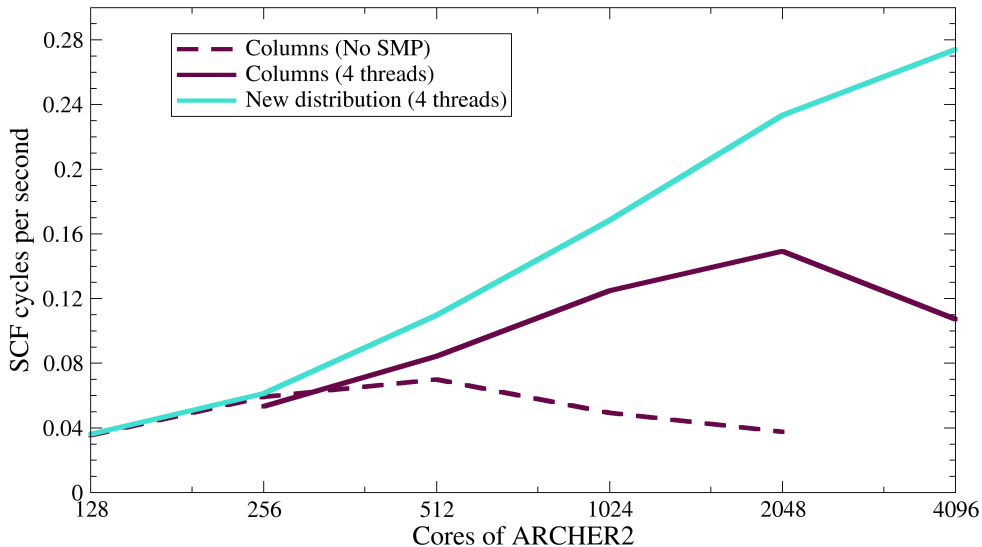
GPUs

Massively parallel

Summary



# Performance: Speed ( $\text{Al}_2\text{O}_3$ on ARCHER2)



First-principles modelling

CASTEP in Parallel

GPUs

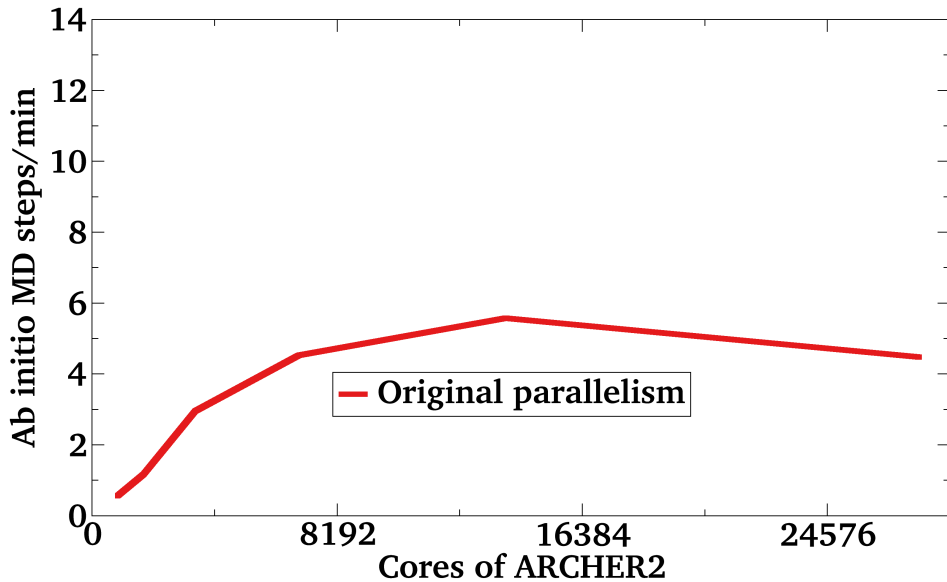
Massively parallel

Summary



# Performance: Ab initio MD

- First-principles modelling
- CASTEP in Parallel
- GPUs
- Massively parallel
- Summary







# Performance: Ab initio MD

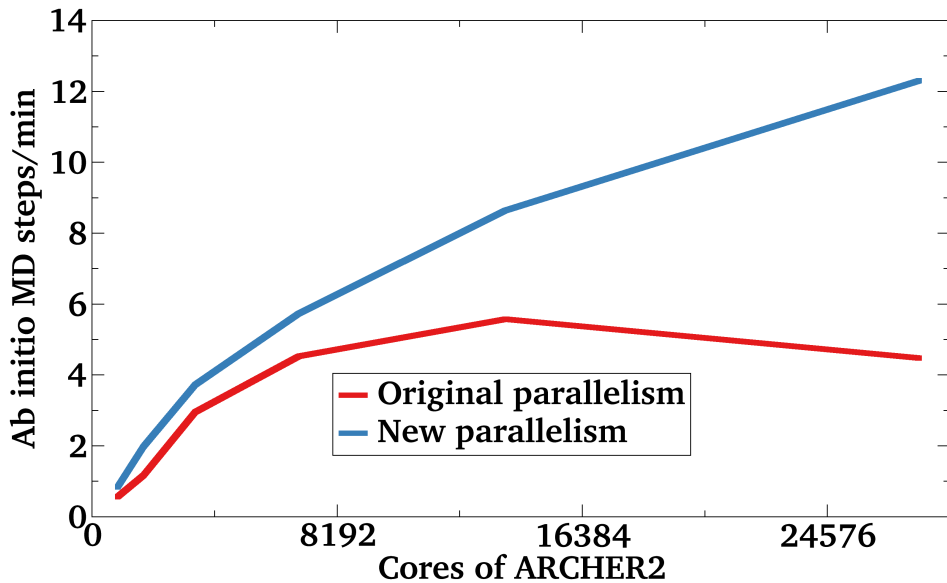
First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

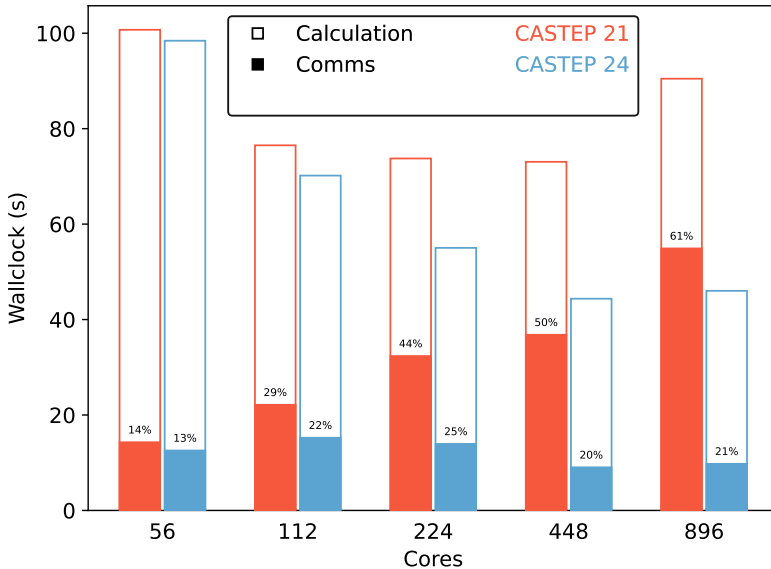
Summary





# Performance analysis (Solid benzene on CSD3)

First-principles  
modelling  
CASTEP in  
Parallel  
GPUs  
Massively parallel  
Summary





# Re-imagined parallelism

First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary

- Emphasis on scaling (improved 4x)
- Faster on all core counts
- Gives a 1.6x speed-up on 2048 cores  
→ 63% of the time-to-science
- Other communications are now the bottleneck!



# Conclusions

- Many challenges... but significant performance improvements
- Re-engineering for GPUs: 6-8X
- Re-thinking parallel decompositions: 4X scaling
- Now need to combine the two!

## CASTEP GPU:

M.J. Smith et al, *Comput. Sci. Eng.* **24**(1) 46-55 (Jan-Feb 2022); doi: 10.1109/MCSE.2022.3141714

## New CASTEP parallelism:

B. Durham, M.J. Smith & P. Hasnip, eCSE Technical Report



Engineering and  
Physical Sciences  
Research Council



First-principles  
modelling

CASTEP in  
Parallel

GPUs

Massively parallel

Summary